

# Declaration For Patent Application

Docket # AAA159

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor(if only one name is listed below) or an original, first and joint inventor(if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled LIGHT DEVICE HAVING LIGHT CONCENTRATING DEVICE

the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_  
as U.S. Application  
Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys

Please direct all communications to the following address:

Ming Huang HUANG  
P.O.Box 4-67, Hsin Chuang,  
Taipei, Taiwan 242.

Direct telephone calls to:  
FAX: 886-4-22454159

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Ming Huang HUANG

Inventor's signature Huang Ming Huang DATE Sep. 24, 2003

Residence 4F, No. 3, Avenue 4, Lane 169, Fu Show Street, Hsin Chuang City,  
Taipei Hsien, Taiwan 242

Citizenship Taiwan

Post Office Address P.O.Box 4-67, Hsin Chuang, Taipei, Taiwan 242

Applicant or Patentee: Ming Huang HUANG Docket: AAA159  
Serial or Patent Number: \_\_\_\_\_ Examiner: \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For: LIGHT DEVICE HAVING LIGHT CONCENTRATING DEVICE

**VERIFIED STATEMENT (DECLARATION) BY AN INDEPENDENT INVENTOR  
CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9(F) AND 1.27(B)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled LIGHT DEVICE HAVING LIGHT CONCENTRATING DEVICE

by Ming Huang HUANG

described in:

- ☒ The specification filed herewith.  
☐ Patent application serial number \_\_\_\_\_, filed \_\_\_\_\_  
☐ PCT International patent application number \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent number \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ No such person, concern or organization.  
☒ Persons, concerns or organizations listed below. Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their stars as small entities (37 CFR 1.27).

Full Name: TAICHANG SYSTEM CONTROL CO., LTD.

Address: 4F, No. 3, Avenue 4, Lane 169, Fu Show Street, Hsin Chuang City, Taipei Hsien  
☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization Taiwan 242.

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization  
☐ See attached sheet for additional person(s), concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

	Inventor 1	Inventor 2	Inventor 3
Name	Ming Huang HUANG		
Signature	Huang Ming Huang		
Date	September 24, 2003		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: TAICHANG SYSTEM CONTROL CO., LTD.

Attorney's

Serial or Patent No.:

Docket No.:

AAA159

Filed or Issued:

For: LIGHT DEVICE HAVING LIGHT CONCENTRATING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☒ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN TAICHANG SYSTEM CONTROL CO., LTD.

ADDRESS OF CONCERN 4F, No. 3, Avenue 4, Lane 169,

Fu Show Street, Hsin Chuang City, Taipei Hsien, Taiwan 242

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, on concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the ☐ Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application,

any

patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Ming Huang HUANG

TITLE OF PERSON OTHER THAN OWNER president

ADDRESS OF PERSON SIGNING 4F, No. 3, Avenue 4, Lane 169, Fu Show Street, Hsin Chuang City, Taipei Hsien, Taiwan 242

SIGNATURE Huang Ming Huang

DATE September 24, 2003